

<b>Eligible Professionals – 2019 Stage 3 Suggested Documentation to Retain</b>	
<b>Objective 1: Protect Patient Health Information</b>	<ul style="list-style-type: none"> <li>• Security Risk Assessments/Analyses</li> <li>• Policies, procedures and forms, such as authorization and release forms</li> <li>• Training materials, dates, times and attendees</li> <li>• Breach notifications and investigations</li> </ul>
<b>Objective 2: Electronic Prescribing</b>	<ul style="list-style-type: none"> <li>• EHR report including provider name, provider NPI, 90 day reporting period, numerator, denominator and percentage</li> <li>• Screenshot of drug formulary</li> </ul>
<b>Objective 3: Clinical Decision Support</b>	<ul style="list-style-type: none"> <li>• Screenshot capture and list of CDS rules</li> <li>• Screenshot capture of drug-drug and drug-allergy interactions</li> </ul>
<b>Objective 4: Computerized Provider Order Entry</b>	<ul style="list-style-type: none"> <li>• EHR report including provider name, provider NPI, 90 day reporting period, numerator, denominator and percentage</li> <li>• Screenshot of drug formulary</li> </ul>
<b>Objective 5: Patient Electronic Access</b>	<ul style="list-style-type: none"> <li>• EHR report including provider name, provider NPI, 90 day reporting period, numerator, denominator and percentage</li> <li>• If log sheet was used: log sheet, policy and hard copy of instructions</li> <li>• Proof that API is enabled and instructions given to patients on how to use API functionality</li> </ul>
<b>Objective 6: Coordination of Care Through Patient Engagement</b>	<ul style="list-style-type: none"> <li>• EHR report including provider name, provider NPI, 90 day reporting period, numerator, denominator and percentage</li> </ul>
<b>Objective 7: Health Information Exchange</b>	<ul style="list-style-type: none"> <li>• EHR or 3<sup>rd</sup> party HISP reports</li> <li>• Summary of Care document</li> <li>• EHR report including provider name, provider NPI, 90 day reporting period, numerator, denominator and percentage</li> </ul>
<b>Objective 8: Public Health &amp; Clinical Data Registry Reporting</b>	<ul style="list-style-type: none"> <li>• Option 1: Signed participation agreements and addendums</li> <li>• Option 2: KHIE/Registry Testing and Validation Confirmation</li> <li>• Option 3: KHIE/Registry Go-Live Approval Form</li> <li>• Proof of active engagement with Public Health Registry</li> <li>• Proof of active engagement to send data to a Clinical Data Registry</li> <li>• Registration receipt</li> </ul>
<b>eQMs</b>	<ul style="list-style-type: none"> <li>• EHR report including provider name, provider NPI, 90 day reporting period, numerator, denominator and percentage</li> <li>• QRDA III file</li> </ul>

\*This is a suggested list of documents to retain and may not include all items that are requested at time of an audit.